



35.C15334

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
KAZUYA ISHIWATA ET AL. ) Examiner: Not Yet Assigned  
Application No.: N.Y.A. ) Group Art Unit: N.Y.A.  
Filed: May 2, 2001 )  
For: ELECTRON SOURCE FORMING )  
SUBSTRATE, ELECTRON )  
SOURCE USING THE )  
SUBSTRATE, AND IMAGE )  
DISPLAY APPARATUS ) August 23, 2001

Commissioner of Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. A copy of each listed document is also enclosed.

The concise explanation of relevance for the listed Japanese document may be found in its English-language abstract, submitted herewith, and in the specification at page 3, where this reference is cited. The explanation of relevance for the listed Japanese-language article may be found in its counterpart U.S. Patent, submitted herewith, and in the specification at page 2, where this reference is cited.

<u>APPLICATION NO.</u>	<u>FILING DATE</u>	<u>GROUP ART UNIT</u>
09/847,420	05/03/01	NYA
09/845,286	05/01/01	2879

## CONCLUSION

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

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Attorney for Applicants

Registration No. 29 296

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FORM PTO 1449 (modified)			ATTY DOCKET NO. <b>35.C15334</b>		APPLICATION NO. <b>NYA</b>	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			APPLICANT <b>KAZUYA ISHIWATA ET AL.</b>			
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			FILING DATE <b>MAY 2, 2001</b>		GROUP <b>NYA</b>	
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	<b>6,208,071</b>	<b>03/27/01</b>	<b>Nishimura et al.</b>			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	<b>10-241550</b>	<b>09/11/98</b>	<b>Japan</b>			<b>Abstract and USP 6208071B1</b>
	<b>0850892A</b>	<b>07/01/98</b>	<b>EPO</b>			<b>(In English)</b>
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)						
	<b>W.P. Dyke, Advances in Electronics and Electron Physics, 8, 89 (1956); Field Emission.</b>					
	<b>C.A. Spindt, Journal of Applied Physics, 47, 5248 (1976); Physical properties of thin-film field emission cathodes with molybdenum cones.</b>					
	<b>M.I. Elinson, Radio Engineering Electron Physics, 10, 1290 (1965); The Emission of Hot Electrons and the Field Emission of Electrons from Tin Oxide.</b>					
	<b>G. Dittmer, Thin Solid Films, 9, 317 (1972); Electrical Conduction and Electron Emission of Discontinuous Thin Films.</b>					
	<b>M. Hartwell, IEEE Transactions Electron Devices Conference, 519 (1975); Strong Electron Emission from Patterned Tin-Indium Oxide Thin Films.</b>					
	<b>H. Araki, Journal of the Vacuum Society of Japan, Volume 26, No. 1, p. 22 (1983); Electroforming and Electron Emission of Carbon Thin Films. <i>Abstract</i></b>					
EXAMINER			DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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